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# Random forest is the best species predictor for a community of insectivorous bats inhabiting a mountain ecosystem of central Mexico

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#### ABSTRACT

Bats are nocturnal animals that can be identified by recording and analysing quantitatively their echolocation calls. For this task, many studies have used both parametric and non-parametric approximations with a variety of results. This urges the necessity of developing more call libraries, that should be analysed using the different statistical approaches to test their performance. This could be relevant in countries holding high biodiversity where the knowledge of the variation in the call structure among species is still scarce. We constructed and validated a call library from bats inhabiting a mountain ecosystem of central Mexico using the Linear Discriminant Function, Artificial Neural Network and Random Forest approaches. We recorded and analysed 2,325 pulses from 114 individuals and 16 bat species of the families Vespertilionidae, Mormoopidae, Molossidae, and Natalidae. The Random forest model (81.3%) was the better species predictor over the artificial neural network and the discriminant function analysis (69% and 62.1%, respectively). Our work is one of the few attempts to do this exercise that has been conducted in Mexico. The library can be useful as a starting point of research in other regions of the highlands in central Mexico where the information is still scarce.

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#### **KEYWORDS**

Bats; call library; classification; central Mexico; echolocation; statistical approaches

#### Introduction

Monitoring biodiversity is fundamental to understand ecosystem processes, both at regional and global levels (Ahlén and Baagøe 1999; Ochoa et al. 2000; Welsh and Droege 2001). Nevertheless, for some species, this task is challenging because both individual capture and tracking are difficult in the field. Bats are nocturnal flying animals

difficult to observe and identify without capturing individuals with the use of mist nets or other trapping devices (Kunz and Kurta 1988; Rydell and Speakman 1995; Speakman 2001; Kunz et al. 2009). Additionally, some species can detect and avoid the nets, and many tend to forage beyond the places where they can be caught. In aerial insectivorous bats, capture instances are fortuitous, and its rates of captures do not reflect well the local abundance of the species (Kalko et al. 1996; O'Farrell 1997; Kingston et al. 2003; MacSwiney G et al. 2008). Luckily, the discovery of bat echolocation, and the development of ultrasound detectors, which can record and store calls, have allowed researchers to detect and identify the bat species by using the ultrasound pulses that animals broadcast when they are flying (Griffin et al. 1960; Fenton and Bell 1981; O'Farrell 1997; Ahlén and Baagøe 1999; O'Farrell and Miller 1999; Britzke et al. 2013; Waters and Gannon 2004; MacSwiney G et al. 2008; among others).

Acoustic identification is usually conducted by analysing the temporal and spectral structure of the pulses produced by bats and classifying them according to the characteristics of reference recordings (Brigham and Cebek 1989; Vaughan et al. 1997; Parsons and Jones 2000; Britzke et al. 2002, 2011; Redgwell et al. 2009). Reference recordings are obtained from well-identified individuals that have been successfully captured and recorded when they are flying as in typical natural conditions. Calls recorded from the wild animals can be assigned to species by comparing them to the reference calls by visual inspection in case of species with idiosyncratic echolocation calls, or by quantitative methods that reduce the bias associated with the researcher performing the identification (Russo and Jones 2002; Waters and Gannon 2004; Parsons and Szewczak 2009). In this regard, many studies have used parametric classification methods to complete the task (Krusic and Neefus 1996; Britzke et al. 2002; Vaughan et al. 1997; Russo and Jones 2002; Biscardi et al. 2004; among others), and some others have explored other non-parametric machine-learning approaches, with different results (Herr et al. 1997; Burnett and Masters 1999; Parsons and Jones 2000; Parsons and Obrist 2000; Broders et al. 2004; Skowronski and Harris 2006; Jennings et al. 2008; Redgwell et al. 2009; among others). Because call parameters tend to vary among individuals of the same species due to age, size, gender, presence of conspecifics and geographical distribution (Brigham and Cebek 1989; Jones et al. 1992; Kalko and Schnitzler 1993; Obrist 1995; Barclay 1999; Kazial et al. 2001; Russo et al. 2001), and the performance of the statistical methods may differ in regard of the algorithms they use (Biscardi et al. 2004), high differences in the probability of accurate identification of species have been reported. This urges the necessity of developing more regional call libraries that should be analysed using different statistical approaches to test their performance. This could be especially relevant in countries with a high diversity of bat species, where monitoring has been traditionally conducted with the use of mist nets (O'Farrell and Miller 1999), and the knowledge of the variation in the call structure among species is still scarce (but see Orozco-Lugo et al. 2013; Rivera-Parra and Burneo 2013; Rodríguez-San Pedro and Simonetti 2013; Zamora-Gutierrez et al. 2016).

Here, we developed and validated a call library from 16 bat species inhabiting a mountain ecosystem of Central Mexico. To do this, we used both parametric (a Discriminant Function

Analysis -DFA-) and two non-parametric (Artificial Neural Network -ANN- and Random Forest -RF-) approaches. Because it has been reported that most of the call parameters of insectivorous bats tend to present a non-parametric structure (Waters and Gannon 2004), we predicted that ANN and RF would have better accuracy for species identification than the DFA.

# **Material and methods**

#### Study site

Our study was conducted at La Malinche National Park (hereafter LMNP) (19°13'34.08"N, 98° 1'28.92"W; 4100 m a.s.l.), a mountain ecosystem located in Central Mexico (Acosta and Kong 1991). The site is a natural protected area that is mainly composed of crops in the lowlands, and Pine, fir forests, and mountain prairie areas at the middle and high elevations (Villers et al. 2006). Climate is temperate sub-humid with a rainy season in summer (INEGI 1987), and the average annual ambient temperature is 15°C (Lara 2006).

Because we were interested in being able to classify the calls of all species that could be present at the park, we first constructed a potential list of the bats that might occur in the area. To do this, we consulted maps of the species presented by Medellín et al. (2008) and the IUCN List for Threatened Species (https://www.iucnredlist.org). Nevertheless, we just were able to capture half of the species of the list with our mist-netting effort in the study site (see results). So, we completed our library with calls obtained from the nearest localities available from LMNP (Table 1).

#### **Bat captures**

We captured bats from 2014 to 2018 as part of continuous monitoring that has been conducted in LMNP, and the other localities present in the vicinities of the study site (Table 1). Bats were captured with 3 or 6 m long and 2 m high mist nets set in the forest, out of the caves when animals were emerging from their roosts or in waterbodies that the bats visited for drinking or foraging. Nets were open at dusk, checked every 20-30 minutes, and closed at ~01:00 am. We also obtained recordings of bats emerging from roosts from whose specific identity we knew after previous inspections. Captured bats were identified to species level with the use of Mexican field guides (Medellín et al. 2008), and their age and reproductive condition were registered. For taxonomic names, we followed Ramírez-Pulido et al. (2014). Body mass was obtained either with the use of an electronic balance to the nearest 0.2 g (Ohaus<sup>®</sup>) or a spring balance to the nearest 0.5 g. Age of bats (i.e., either juvenile or full-grown) was assessed by checking the presence of the epiphyseal gap of the fourth metacarpal bone of the wings (Kunz et al. 1996). After taking measurements, bats were released at their capture site, and the echolocation calls that the animals broadcasted on their departure were recorded. To collect as much call variation as possible, we recorded both males and females as well as juvenile bats (Britzke et al. 2010, Table 1). Animals were captured and handled under permission of the Mexican Department of Wildlife Management (SEMARNAT 07019, and FAUT-0251 granted to our institutions).

| so, we completed o                      | ur libı | rary with calls o     | btained from otl      | ner localities near our study site.         | -  |                                 |                             |
|---|---------|-----------------------|-----------------------|---|--|---------------------------------|-----------------------------|
| Bat species by family                   | (u)     | Sex proportion<br>M/F | Age proportion<br>A/J | Reproductive condition (proportion)<br>R/NR | Locality   | Place of capture                | Recording<br>technique      |
| <b>Vespertilionidae</b><br>Corynorhinus | (10)    | 6/4                   | 10/0                  | 4/6   | LMNP (19º13'34.08"N; 97º   | Water body                      | Hand release                |
| mexicanus<br>Eptesicus fuscus           | (13)    | 10/3                  | 13/0                  | 0/13  | 59'25.9"O)<br>LMNP   | Water body                      | Hand release                |
| E. furinalis                            | (5)     | 5/0                   | 0/5                   | 0/5   | (19°13'34.08"N; 97°59'25.9"O)<br>(21°04'53.80"N,                       | Water body                      | Hand release                |
|   | I       | I                     | I                     | I   | 87°12′11.84″W)<br>(21°12′36.65″N,                                      | Medium semideciduous            | Flying freely               |
|   | I       | I                     | I                     | I   | 87°12'33.61"W)<br>(21°13'37.98"N,                                      | torest<br>Water body            | Flying freely               |
|   | I       | I                     | I                     | I   | 88°40'45.54"W)<br>(20º54'33.16"N,<br>000551'00 07"M)                   | Water body                      | Flying freely               |
|   | I       | I                     | I                     | I   | (21°17'53.80"N,<br>(21°17'53.80"N,<br>00°26'32.00"W                    | Pastureland                     | Flying freely               |
| Lasiurus cinereus                       | (9)     | 0/9                   | 6/0                   | 9/0   | 00 20 22.00 W)<br>LMNP (19º13'34.08"N; 97°<br>50'25 0"01               | Water body                      | Hand release                |
| L. ega<br>Myotis californicus           | (1)     | 1/0<br>9/1            | 1/0<br>10/0           | 0/1<br>0/10                                 | رت جرد خرد<br>na<br>LMNP (19°13'34.08"N; 97°                           | Within the forest<br>Water body | Zip line<br>Hand release    |
| M. melanorhinus                         | (8)     | 1/1                   | 8/0                   | 0/8   | 59'25.9"O)<br>LMNP   | Water body                      | Hand release                |
| M. thysanodes                           | (3)     | 3/0                   | 3/0                   | 1/2   | (19º13'34.08"N; 97°59'25.9"O)<br>LMNP (19º13'34.08"N; 97°<br>E025 2000 | Water body and                  | Hand release                |
| M. velifer                              | (10)    | 5/5                   | 10/0                  | 0/10  | U 7:52 25<br>LMNP<br>(012/22 40/01)                                    | exit of a cave<br>Water body    | Hand release                |
| M. volans                               | (14)    | 3/11                  | 14/0                  | 1/13  | (19°13'34.06 N; 57 25.9'0)<br>LMNP<br>(19°13'34.08"N: 97°59'25.9"0)    | Water body                      | Hand release                |
| Molossidae<br>Nyctinomops macrotis      | (4)     | 4/0<br>0,0            | 4/0                   | 0/4   | (18°55'15.93" N, 99°14'15.60" O)                                       | Water body                      | Hand release                |
| ladarida brasiliensis                   | (8)     | 8/0                   | 8/U                   | 4/4   | (0 00.01 41 66 /N 66.01 CC 81)   | within the lorest               | папа геlease<br>(Continued) |
|   |         |                       |                       |   |  |                                 | 「シンシニンニンシン                  |

Table 1. Species used for the construction and validation of the call library of bats living at La Malinche National Park, a mountain ecosystem located in central Mexico. We constructed a potential list of 16 species that might occur in the area. Nevertheless, we were just able to capture half of them with the use of mist nets,

|                       |     | Sex proportion | Age proportion | Reproductive condition (proportion) |                                |                        | Recording     |
|-----------------------|-----|----------------|----------------|-------------------------------------|--------------------------------|------------------------|---------------|
| Bat species by family | (u) | M/F            | L/A            | R/NR                                | Locality                       | Place of capture       | technique     |
| Mormoopidae           |     |                |                |                                     |                                |                        |               |
| Mormoops              | (8) | I              | I              | I                                   | (20°33'03.89″N, 89°54'44.90″W) | Water body and exit of | Flying freely |
| megalopnylla          |     |                |                |                                     |                                | a cave                 |               |
| Pteronotus davyi      | (6) | 0/6            | 0/6            | 6/0                                 | (19°21'34.9″N;                 | Exit of a cave         | Hand release  |
|                       |     |                |                |                                     | 96°41′41.3″O)                  |                        |               |
| P. parnellii          | (4) | 4/0            | 4/0            | 0/4                                 | (19°21'34.9″N;                 | Exit of a cave         | Hand release  |
|                       |     |                |                |                                     | 96°41′41.3″O)                  |                        |               |
| Natalidae             |     |                |                |                                     |                                |                        |               |
| Natalus mexicanus     | (1) | na             | na             | na                                  | (20° 9'31.01", 103°54'46.48")  | Water body             | Zip line      |

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#### **Call records**

We recorded search echolocation calls from bats that were released near the places where individuals were captured: a) in a zip-line or b) from the hand. Both methods have demonstrated to be quite effective to record calls to build reference call libraries of insectivorous bats around the world (Szewczak 2004). We also recorded some individuals of Mormoops megalophylla and Eptesicus furinalis when bats were flying freely (Table 1). The zip-line where the bats were recorded had an extension of ~10 m. When bats were released either in the zip line or from the hand, the person holding an ultrasound detector (either Petterson -models 1000x and D980-, Pettersson Elektronik AB, Uppsala, Sweden, or an Avisoft UltraSoundGate model 116 H; Avisoft Bioacoustics, Glienicke, Germany) was positioned from 10 to 30 m in front of the bats' flying trajectory, and the calls emitted by the animals were recorded. This was similar to the recordings obtained from the freeflying bats, but the person doing the recordings was positioned out of the cave or within the vegetation where animals were flying freely. Calls were digitally recorded at a sampling rate of 300 kHz, which allowed us to record sounds of up to 150 kHz well above the maximum frequency of the calls, including functional harmonics broadcasted by all the species considered in the library (Rydell et al. 2002).

#### Data analyses

#### Recordings

Recordings were analysed using the Sonobat\* software ver. 3.1.5 (Szewczak 2010). For the analysis, we used the sequences that had the highest signal-to-noise ratio (i.e., those with a quality higher than 85%). We chose 15 variables (3 temporal and 12 spectral) that have been reported in the bibliography to be most useful for call identification of insectivorous bats (Kalko and Schnitzler 1989; Vaughan et al. 1997; Redgwell et al. 2009) (see appendix). In all cases, we measured the harmonic with the most energy. Using parameters universally recognised to identify bat calls may enhance the repeatability of data among researchers (Britzke et al. 2010).

#### Building and validating the classification tools

For the validation of calls (i.e., the evaluation of the capacity of the algorithms to correctly identify the known calls), we used each pulse as the unit of measurement. To do the acoustical identification, we used three different methods, one parametric: DFA (Sokal and Rohlf 1981); and two non-parametric: ANN (Haykin 1999) and the RF algorithm (Breiman 2001).

We choose these methods because it has been proved that they provide good classification results for insectivorous bats (Britzke et al. 2010; Zamora-Gutierrez et al. 2016). While DFA constructs discriminant functions based on the linear combination of variables that maximises the differences of the featured means to allow predictions (Poulsen and French 2008), NNA is a non-linear adaptive machine-learning algorithm that trains and correct itself to optimise the model to perform the identifications, and the RF algorithm constructs a series of decision trees to predict and classify the variables to make classifications (Cutler et al. 2007).

Because data manipulation previous to analysis tends to be time-consuming, we trained the data for the models in the simplest way we could (i.e., we did not: i) eliminate any correlated variable, and ii) separate the frequency modulated - FM - from the quasiconstant frequency-modulated - QCF-FM - and the constant frequency-modulated - CF-FM - calls from the data set). In this way, the classification tools allowed us to analyse the data once they were obtained. In all models, we randomly assigned 50% of the calls of each bat species for the training data set and evaluated the correct identifications in the remaining 50% of calls. Before being split, data were randomised within species to avoid pseudo-replication due to: 1) the recording method and the place where the animals were recorded, and 2) the data associated with the individuals as their identity, sex, or age. The ANN had a very simple structure and consisted of three layers: 1) a layer of 15 node inputs (i.e., the call variables we chose, see appendix), 2) a hidden layer with 16 nodes, and 3) an output layer with 16 nodes that corresponded to the number of bat species we intended to classify. For this model, we used a preset decay value of 0.001. We chose 16 nodes in the hidden layer because although there is not a clear rule for assigning this parameter, it is highly recommended that the number of nodes would be between the nodes of the input and the output layers (Samarasinghe 2007). The RF classifier consisted of 500 trees, and the number of variables tried at each split was three. All analyses were performed in R ver 3.5.0 (function *lda* from the *Car* library for the DFA, function *nnet* from the *nnet* library for the ANN analysis, and function RandomForest from the RandomForest library for the RF analysis) (R Core Team 2018). All values presented in the results section are showed as means with their respective standard deviation unless noted otherwise.

#### Evaluation of the models' performance

We evaluated the models' performance by calculating the receiver operating characteristic curve (ROC) for each predictive algorithm. ROC is a graphical representation (where true positive rate -TPR- is plotted in the Y-axis and false-positive rate -FPR- is plotted in the X-axis) which helps to illustrate the diagnostic capacity of classifiers (Fawcett 2006). ROC's have been used in diverse scientific fields of science to evaluate and compare models (e.g., Bradley 1997; Goldbaum et al. 2002; Hobson et al. 2014). For binary classifiers, ROC is represented by a single point in the ROC space (Fawcett 2006). A perfect classifier is that placed in the coordinates 0,1 of the ROC space. This point represents a model with both no false negatives and no false positives (Fawcett 2006).

To calculate the ROC curve, we first generated the confusion matrix outputted from each model. We then counted the total number of true positives (TP), false positives (FP), false negatives (FN), and true negatives (TN). We calculated TPR and FPR according to Fawcett (2006) as:

$$TPR = \frac{TP}{(TP + FN)}$$

where TP and FN are the total scores by the model true positives and false negatives, respectively, and:

$$FPR = \frac{FP}{(FP + TN)}$$

where FP and TN are the total scores by the model of false positives and true negatives, respectively. We also calculated the area under the curve (AUC) from each model. Data were obtained from the confusion matrix we previously obtained from each model. The AUC is an effective and combined measure of TPR and FPR that describes the inherent validity of classifying models (Bradley 1997). Maximum AUC = 1 means that the diagnostic test is perfect in the differentiation between the correct and incorrect classifications. AUC = 0.5 means that classifications occur by a random process, while AUC = 0 indicates incorrect classifications in all subjects (Bradley 1997). In binary models, the use of AUC has been controversial because the linear function calculated by common software tends to overestimate the AUC. To overcome this overestimation, 1) we used the step function interpolation to generate the ROC curve, and 2) calculated the AUC manually following Muschelli (2019). AUC was estimated using the formula:

$$AUC = (TPR)(1 - FPR)$$

# Results

We obtained a total of 2,325 pulses from 114 individuals and 16 bat species of the families Vespertilionidae, Mormoopidae, Molossidae, and Natalidae. Eight species were captured in LMNP and eight of them caught nearby the study site. We recorded both males and females as well as juvenile bats. 12 species were recorded with the hand released method, two with the zip-line technique, and two when bats were flying-freely (Table 1).

#### Description of the echolocation calls

Bats presented differences in the parameters of their echolocation calls. These parameters were highly variable, where the start frequency was the most variable feature, while the mean of the third quartile amplitude was the feature that varied less (Table 2). Bats of the families Vespertilionidae (i.e., those of the Myotis, Eptesicus, Corynorhinus and Lasiurus genera) (n = 10 spp.), Molossidae (i.e., bats of the Nyctinomops and Tadarida genera) (n = 2 spp.), and Natalidae (i.e., bats of the genus *Natalus*) (n = 1 spp.) presented typically FM echolocation calls (Figure 1). In this mode of echolocation, bats of the *Myotis* genus presented calls that were very much alike. On the other hand, bats of the family Mormoopidae showed QC-FM calls in bats of the genus Mormoops (n = 1 spp.), and CF-FM calls (n = 2 spp.) in bats of the *Pteronotus* genus (Figure 1). Except for *N. macrotis* which presented less pronounced echolocation calls, the rest of the FM echolocators showed steep downward echolocation pulses that varied from  $2.8 \pm 0.7$  ms in *M. velifer* to  $9.0 \pm 2.6$  ms in *N. macrotis*. The rest of the bats which showed a mixture of components in their pulses (i.e., either QC-FM or CF-FM) exhibited more even calls, where *M. megalophylla* presented the shortest call duration  $(4.1 \pm 1.2 \text{ ms})$  while *P. parnellii* displayed the longest one (21.2 ± 5.4 ms). Finally, Nyctinomops macrotis and N. mexicanus presented the minimum and maximum values in the lowest frequency  $(17.2 \pm 5.0 \text{ kHz and } 77.6 \pm 22.0 \text{ kHz}, \text{ respectively})$ , as well as the frequency characteristic  $(18.4 \pm 5.1 \text{ kHz} \text{ and } 80.4 \pm 23.0 \text{ kHz}, \text{ respectively})$  from all the bats measured in the call library (Table 2).

| Table 2. Parameter                          | values fr               | om the va                | ariables used <sup>.</sup>       | to constr              | uct and vali                | date a call l               | ibrary ot         | f 16 bat s             | pecies inha    | biting                 | -a Malir          | iche Nati | onal Pa              | irk, a m          | iountain |
|---|-------------------------|--------------------------|----------------------------------|------------------------|-----------------------------|-----------------------------|-------------------|------------------------|----------------|------------------------|-------------------|-----------|----------------------|-------------------|----------|
| ecosystem of centra<br>parametric: neural n | ll Mexico.<br>Network a | To do the<br>ind the rai | e acoustical id<br>ndom forest a | entificati<br>Igorithm | on, we used<br>. Data are p | three differ<br>resented as | ent met<br>mean ± | hods, one<br>SD. See a | parametric     | : discrin<br>ne for th | ninant<br>ie mear | function  | analysis<br>ach vari | ;; and t<br>able. | -non ov  |
| Bat species by family                       |                         |                          |                                  | 5                      | •                           | Call var                    | iable             |                        |                |                        |                   | 5         |                      |                   |          |
| (u)   | Dc                      | Fc                       | Ηf                               | Lf                     | Efm                         | Fmp                         | Pma               | Sf                     | Ef             | Рс                     | х                 | Tc        | പ                    | æ                 | Casl     |
| Vespertilionidae                            |                         |                          |                                  |                        |                             |                             |                   |                        |                |                        |                   |           |                      |                   |          |
| <i>Come</i> (305)                           | 4.6                     | 29.0                     | $49.1 \pm 5.7$                   | 26.5                   | $22.6 \pm 3.5$              | $37.1 \pm 5.1$              | 49.5              | 49.1                   | $26.5 \pm 5.3$ | 0.8                    | 0.9               | 0.9       | 0.7                  | 2.6±              | 5.4      |
|   | ±1.7                    | ±6.4                     |                                  | ±5.3                   |                             |                             | ±15.6             | ±5.7                   |                | ±0.1                   | ±0.1              | ±0.1      | ±0.1                 | 4.9               | ±5.9     |
| Epfu (261)                                  | 5.5                     | 31.4                     | 61.1                             | 29.5                   | $31.6 \pm 9.5$              | 35.2                        | 62.8              | 61.0                   | 29.7 ± 3.4     | 0.6                    | 0.8               | 1.0       | 0.8                  | 1.6               | 6.3      |
|   | ±2.0                    | ±4.0                     | ±10.2                            | ±2.9                   |                             | ±4.9                        | ±14.2             | ±10.3                  |                | ±0.1                   | ±0.1              | ±0.1      | ±0.1                 | ±1.2              | ±2.6     |
| Epfi (54)                                   | 6.6                     | 37.6                     | 52.8                             | 37.2                   | $15.6 \pm 6.4$              | $38.9 \pm 1.4$              | 58.0              | 52.8                   | 37.7 ± 1.6     | 0.8                    | 0.9               | 1.0       | 0.9                  | 1.0               | 2.5      |
|   | ±1.5                    | ±1.4                     | ±6.9                             | ±1.4                   |                             |                             | ±15.1             | ±6.9                   |                | ±0.1                   | ±0.0              | ±0.0      | ±0.1                 | ±0.6              | ±1.2     |
| Laci (177)                                  | 4.3                     | 26.9                     | 50.1                             | 24.9                   | 25.2                        | 29.8 ± 3.8                  | 58.9              | 50.1                   | $25.2 \pm 2.4$ | 0.7                    | 0.8               | 0.9       | 0.8                  | 9.8               | 14.6     |
|   | ±2.2                    | ±2.8                     | ±11.1                            | ±2.3                   | ±10.1                       |                             | ±16.6             | ±11.1                  |                | ±0.2                   | ±0.1              | ±0.0      | ±0.1                 | ±8.1              | ±7.6     |
| Laeg (32)                                   | 4.7                     | 32.2                     | 58.5                             | 30.0                   | 28.5                        | $36.5 \pm 2.0$              | 55.8              | 58.5                   | 30.0           | 0.7                    | 0.1               | 0.1       | 0.8                  | 1.9               | 6.4      |
|   | ±1.0                    | ±1.5                     | ±3.8                             | ±0.8                   | ±3.8                        |                             | ±10.3             | ±3.8                   | ±0.8           | ±0.1                   | ±0.1              | ±0.0      | ±0.1                 | ±0.9              | ±1.6     |
| Myca (234)                                  | 3.2                     | 47.5                     | 87.9                             | 42.6                   | 45.3                        | 52.2                        | 66.3              | 87.9                   | 42.6           | 0.6                    | 0.8               | 0.9       | 0.8                  | 8.1               | 15.0     |
|   | ±1.0                    | ±4.7                     | ±14.3                            | ±5.0                   | ±12.2                       | ±7.2                        | ±12.8             | ±14.3                  | ±5.0           | ±0.1                   | ±0.1              | ±0.1      | ±0.1                 | ±3.4              | ±4.9     |
| Myme (239)                                  | 3.3                     | 44.1                     | 78.9                             | 38.3                   | 40.7                        | 48.6                        | 62.2              | 78.9                   | 38.2           | 0.5                    | 0.8               | 0.9       | 0.8                  | 6.2               | 13.1     |
|   | ±1.0                    | ±3.4                     | ±10.5                            | ±3.7                   | ±10.8                       | ±4.4                        | ±12.4             | ±10.5                  | ±3.7           | ±0.1                   | ±0.1              | ±0.1      | ±0.1                 | ±4.6              | ±4.0     |
| Myth (77)                                   | 3.0                     | 26.3                     | 66.1                             | 19.7                   | 46.4                        | 30.9                        | 70.5              | 66.1                   | 19.7           | 0.6                    | 0.8               | 0.9       | 0.8                  | 8.1 30.           | 8 ± 19.6 |
|   | ±1.4                    | ±12.2                    | ±13.4                            | ±2.4                   | ±13.8                       | ±5.1                        | ±13.0             | ±13.4                  | ±2.4           | ±0.1                   | ±0.1              | ±0.1      | ±0.1                 | ±9.9              |          |
| Myve(242)                                   | 2.8                     | 43.0                     | 51.9                             | 39.3                   | 42.6                        | 48.5                        | 67.3              | 81.9                   | 39.3           | 0.6                    | 0.8               | 0.9       | 0.8                  | 7.8               | 15.6     |
|   | ±0.7                    | ±3.2                     | ±13.9                            | ±2.7                   | ±13.1                       | ±5.2                        | ±14.2             | ±13.9                  | ±2.8           | ±0.1                   | ±0.1              | ±0.1      | ±0.1                 | ±5.6              | ±4.8     |
| Myvo (420)                                  | 4.0                     | 41.1                     | 76.4                             | 36.7                   | 39.6                        | 45.6                        | 67.2              | 76.4                   | 36.8           | 0.6                    | 0.7               | 0.9       | 0.8                  | 4.8               | 11.3     |
|   | ±1.4                    | ±4.7                     | ±14.3                            | ±4.1                   | ±12.4                       | ±6.6                        | ±13.1             | ±14.3                  | ±4.1           | ±0.1                   | ±0.2              | ±0.1      | ±0.1                 | ±5.3              | ±5.3     |
| Molossidae                                  |                         |                          |                                  |                        |                             |                             |                   |                        |                |                        |                   |           |                      |                   |          |
| Nyma  | 9.0                     | 18.4                     | 24.1                             | 17.2                   | 6.9                         | 20.0                        | 40.2              | 24.1                   | 17.3           | 0.9                    | 1.0               | 0.9       | 0.8                  | 0.2               | 0.8      |
| (19)  | ±2.6                    | ±5.1                     | ±4.6                             | ±5.0                   | ±3.1                        | ±4.7                        | ±13.1             | ±4.6                   | ±5.0           | ±0.1                   | ±0.0              | ±0.0      | ±0.1                 | ±0.1              | ±0.4     |
| Tabr  | 5.3                     | 26.0                     | 43.3                             | 22.6                   | 20.7                        | 51.8                        | 43.3              | 22.6                   | 0.8            | 0.8                    | 0.9               | 0.9       | 0.8                  | 1.6               | 4.2      |
| (67)  | ±1.6                    | ±2.6                     | ±6.6                             | ±2.1                   | ±6.9                        | ±6.1                        | ±19.5             | <b>±6.6</b>            | ±2.1           | ±0.1                   | ±0.1              | ±0.0      | ±0.1                 | ±1.6              | ±1.6     |
|   |                         |                          |                                  |                        |                             |                             |                   |                        |                |                        |                   |           |                      | <u>c</u>          | ntinued) |

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| Bat species by family   |             |                |                   |             |              | Call va       | iriable      |             |                |            |           |         |           | ĺ              |            |
|-------------------------|-------------|----------------|-------------------|-------------|--------------|---------------|--------------|-------------|----------------|------------|-----------|---------|-----------|----------------|------------|
| (u)                     | Dc          | Fc             | Hf                | Lf          | Efm          | Fmp           | Рта          | Sf          | Ef             | Ρc         | Х         | Τc      | с<br>С    | c <del>t</del> | Casl       |
| Mormoopidae             |             |                |                   |             |              |               |              |             |                |            |           |         |           |                |            |
| Mome (35)               | 4.1         | 44.7           | 50.2              | 37.0        | 13.3         | 44.4          | 65.5         | 50.2        | 37.0           | 0.8        | 0.9       | 0.9     | 0.9       | 3.3            | 3.6        |
|                         | ±1.2        | ±10.3          | ±10.3             | ±9.0        | ±4.8         | ±9.9          | ±19.3        | ±10.3       | ±9.0           | ±0.1       | ±0.1      | ±0.1    | ±0.1      | ±1.9           | ±2.0       |
| Ptda                    | 5.7         | 54.3           | 65.8              | 52.2        | 13.5         | 58.6          | 60.0         | 65.7        | 52.3           | 0.8        | 0.9       | 0.9     | 0.9       | 2.6            | 2.7        |
| (48)                    | ±1.6        | ±11.3          | ±13.0             | ±10.8       | ±3.1         | ±12.7         | ±20.0        | ±12.9       | ±10.8          | ±0.1       | ±0.1      | ±0.1    | ±0.1      | ±2.3           | ±1.4       |
| Ptpa (94)               | 21.2        | $63.5 \pm 1.6$ | 64.2              | 52.7        | 11.4         | 61.4          | 65.3         | 62.9        | 52.8           | 0.8        | 0.9       | 0.9     | 0.9       | 0.1            | 0.6        |
|                         | ±5.4        |                | ±1.6              | ±2.2        | ±2.7         | ±3.7          | ±19.6        | ±2.6        | ±2.2           | ±0.1       | ±0.1      | ±0.1    | ±0.0      | ±0.1           | ±0.3       |
| Natalidae               |             |                |                   |             |              |               |              |             |                |            |           |         |           |                |            |
| Name (21)               | 5.1         | 80.4           | $121.6 \pm 36.0$  | 77.6        | 44.0         | 94.7          | 55.5         | 121.2       | 77.6           | 0.7        | 0.9       | 0.9     | 0.9       | 4.4            | 9.0        |
|                         | ±1.0        | ±23.0          |                   | ±22.0       | ±14.5        | ±21.1         | ±19.1        | ±36.5       | ±22.0          | ±0.1       | ±0.1      | ±0.1    | ±0.1      | ±3.5           | ±4.9       |
| (n) = total number of c | alls obtair | hed per bat sk | Decies, Dc = call | duration, F | c = frequenc | y characteris | tic, Hf = hi | ghest frequ | iency, Lf = lo | owest Fred | luency, l | Efm = M | aximum fr | equency        | extension, |

Table 2. (Continued).

rmp = rrequency or maximum cail amplitude, rma = Percentage of the duration of the call in which the maximum amplitude occurs, 5T = Start frequency, ET = End frequency, PC = Mean of the first quartile amplitude, SC = Mean of the second quartile amplitude. Tc = Mean of the third quartile amplitude (SC = Mean of the fourth quartile amplitude).



**Figure 1.** Spectrogram of the echolocation calls of 16 insectivorous bat species composing the community of La Malinche National Park, a mountain ecosystem of central Mexico. Bats of the families Vespertilionidae: Come (*Corynorhinus mexicanus*), Epfu (*Eptesicus fuscus*), Epfi (*E. furinalis*), Laci (*Lasiurus cinereus*), Laeg (*L. ega*), Myca (*Myotis californicus*), Myme (*M. melanorhinus*), Myth (*M. thysanodes*), Myve (*M. velifer*) and Myvo (*M. volans*); Molossidae: Nyma (*Nyctinomops macrotis*) and Tabra (*Tadarida brasiliensis*); and Natalidae: Name (*Natalus mexicanus*) presented FM echolocation calls, while bats of the family Mormoopidae: Mome (*Mormoops megalophylla*) Ptda (*Pteronotus davyi*) and Ptpa (*Pteronotus parnellii*) showed QC-FM and CF-FM echolocation calls.

# Performance of models in species identification

For the development of the models to evaluate the species identification, we obtained a variable number of pulses which ranged from 19 from *N. macrotis* to 420 from *E. fuscus* (Table 3). In the LDA model, the three first linear discriminants explained 82.68% of the total variation, while the most explainable variables where call duration, the lowest frequency, and the end of the frequency. On the other hand, the mean of the second,

| Bat species by family  | (n)   | DFA (%) | ANN (%) | RF (%) |
|------------------------|-------|---------|---------|--------|
| Vespertilionidae       |       |         |         |        |
| Corynorhinus mexicanus | (305) | 83.4    | 84.8    | 89.5   |
| Eptesicus fuscus       | (261) | 77.4    | 77.7    | 85.1   |
| E. furinalis           | (54)  | 73.1    | 92.8    | 88.4   |
| Lasiurus cinereus      | (177) | 66.3    | 83.7    | 93.4   |
| L. ega                 | (32)  | 5       | 26.3    | 38.4   |
| Myotis californicus    | (234) | 47.5    | 72.8    | 70     |
| M. melanorhinus        | (239) | 38.2    | 34.1    | 59     |
| M. thysanodes          | (77)  | 66.7    | 54.7    | 85.7   |
| M. velifer             | (242) | 39.1    | 44.9    | 69     |
| M. volans              | (420) | 61.7    | 63.6    | 78     |
| Molossidae             |       |         |         |        |
| Nyctinomops macrotis   | (19)  | 100     | 75      | 90.9   |
| Tadarida brasiliensis  | (67)  | 71.9    | 50      | 66.6   |
| Mormoopidae            |       |         |         |        |
| Mormoops megalophylla  | (35)  | 56.3    | 84.2    | 93.7   |
| Pteronotus davyi       | (48)  | 86.2    | 67.8    | 95     |
| P. parnellii           | (94)  | 90.7    | 100     | 98     |
| Natalidae              |       |         |         |        |
| Natalus mexicanus      | (21)  | 30      | 90.9    | 100    |
| Overall accuracy       |       | 62.1    | 69      | 81.3   |

**Table 3.** Percentage of correct identifications of the three different statistical approaches of bats inhabiting LMNP, a mountain ecosystem of central Mexico. In all approaches, we used 50% of the calls to train the models and the remaining 50% to make classifications.

(n) = total number of calls obtained per bat species

third, and fourth quartile amplitude, and the frequency characteristic, the lowest frequency, and the end frequency were the most explainable variables for ANN and the RF approaches, respectively.

The three algorithms we used performed differently. In all models *L. ega* presented the lowest percentage of correct identifications, nevertheless, this value was lower in the DFA than the ANN and the RF algorithms tested (5 %, 26.3, and 38.4% respectively). The DFA identified 100% correctly *L. ega*, while the ANN and the RF did it in *P. parnellii* and *N. mexicanus* respectively (Table 3). Finally, the overall percentage of correct classification differed among the three methods tested, where the RF model (81.3% of correct classifications) was the best species predictor over the ANN and the DFA approaches (69% and 62.1 of overall correct classifications, respectively) (Table 3). This same pattern was shown by the ROC and the AUC curves (Figure 2), where the single cut point of the RF model was the closest to the coordinate 0,1 and with the higher AUC value (0.78) respect to ANN (AUC = 0.63) and DFA (AUC = 0.62) respectively.

# Discussion

Here we present the structure and the statistical validation (which was addressed with the use of three different statistical approximations), of the echolocation calls collected from a community of insectivorous bats inhabiting a National Park located in Central Mexico. Although many authors have compared a variety of statistical approaches to identify the bats in multiple call libraries collected around the world (e.g., Parsons and Jones 2000;



**Figure 2.** Receiver operating characteristic (ROC) and area under the curve (AUC) to evaluate the diagnostic capacity of Discriminant Function Analysis (DFA), Artificial Neural Network (ANN), and Random Forest (RF) to evaluate the capacity of the algorithms to correctly identify the calls of bats inhabiting La Malinche National Park, a montane ecosystem of central Mexico. The black star indicates a perfect classification model with values of false-positive rate = 0 and true-positive rate = 1. Black diagonal line shows the ROC space when classification follows a random process (AUC = 0.50).

Biscardi et al. 2004; Armitage and Ober 2010; Britzke et al. 2011), to our knowledge, this is the first attempt to do this exercise that has been conducted in Mexico. This information is useful for supporting acoustic monitoring in LMNP, and other areas nearby, as a complementary method to the traditional mist-netting (Ayala-Berdon et al. 2017). The library can be useful as a starting point of research in other regions of the highlands in central Mexico, where the information is still scarce.

Because the compilation of call libraries is aimed at the acoustic identification of bats detected in the wild habitat, usually for monitoring purposes, the correct classification of the echolocation pulses recorded from the animals is crucial. Several investigations have suggested that the success of acoustical identification of bat species is determined by: 1) the number of species present in a given ecosystem, and how similar they are in terms of their morphology and the structure of their echolocation pulses, and 2) the way that data is constructed and analysed quantitatively (Vaughan et al. 1997; Parsons and Jones 2000; Russo and Jones 2002; Britzke et al. 2002; Biscardi et al. 2004; Brigham et al. 2004; Redgwell et al. 2009). We assess these assumptions with the results we obtained below.

#### Community composition of bats at LMNP

In this work, we found that LMNP may hold up to 16 insectivorous bat species belonging to the families Vespertilionidae, Mormoopidae, Molossidae, and Natalidae. Temperate forests are characterised by having a high dominance of insectivorous bat species (Patten 2004). These species tend to show certain foraging strategies that have moulded their morphological and echolocation traits (Norberg and Rayner 1987). For example, the species which tend to forage within vegetation or along the borders normally present short and wide wings and emit FM pulses, while bats that usually forage in open spaces have long narrow wings and emit CF or a mixture of components in their echolocation calls (Norberg and Rayner 1987; Denzinger and Schnitzler 2013). In our study site, 75% of the species belonged to the families Vespertilionidae and Molossidae (Table 1), which have wide and narrow wings and emit FM echolocation pulses (Barrios-Gómez et al. 2019). Several investigations have shown that the number of bat species and the way they echolocate could affect the performance of the call libraries. For example, Biscardi et al. (2004) and Russo and Jones (2002) found that while CF echolocators are easy to identify, the misclassifications tend to be high in bats emitting FM calls. According to these findings, in this work, we found that the percentage of accuracy of identification for the CF-FM echolocators (i.e., P.davyi and P. mexicanus) was high (from 84.2 to 98% for the whole algorithms tested) (Table 3). Conversely, we found high variability in the percentage of correct classifications (from 5 to 100%) among bats emitting FM pulses (Table 3).

In our models, some species showed a low accuracy in the classification performed by the three algorithms used. For example, L. ega presented the lowest percentages of call identifications in the DFA, ANN and RF models (i.e., from 5 to 38%), while N. mexicanus and T. brasiliensis showed 30% and 50% of accuracy in the DFA and ANN approaches, respectively (Table 3). For bats of the Myotis genus, the percentage of correct classification ranged from 34.1 to 85.7% for all tested models. One possible explanation of these results may rely on the low sample size we obtained from these species, which would have reduced the estimates of the confidence of the models. Additionally, it has been reported that these species tend to show high plasticity in their echolocation pulses. For example, L. ega normally displays a short narrow-band tail in its echolocation calls when flying near obstacles, but the tail becomes longer when the bats fly in open spaces (Rydell et al. 2002). On the other hand, it has been reported that N. mexicanus generally emit calls at very variable intervals, which are useful to animals either to catch prey airborne or in the surface of vegetation (Rydell et al. 2002). This is similar to what it has been found for T. brasiliensis, which can modify their echolocation calls (from FC to FM) depending on the structure of its environment, the presence of conspecifics, or when animals confront environmental noise (Gillam and McCracken 2007). In regard of the Myotis genus, several studies have found that the calls of the species comprising this group are very similar (Vaughan et al. 1997; Russo and Jones 2002). We believe that this is a consequence of their relatively recent diversification (Ruedi et al. 2013); and the close relatedness and similar ecology among the species (Parsons and Jones 2000). Then, although we standardised the recordings to increase the success of the algorithms tested, the plasticity of echolocation calls and the relatedness among the species could have affected the percentage of correct classification we found in our call library.

#### Accuracy of the algorithms used to identify the bat species at LMNP

In this work, we found that the RF was the most successful algorithm for the species classification followed by the ANN and the DFA approximations. Similar results have been observed for other researchers in the past (e.g., Herr et al. 1997; Armitage and Ober 2010; Britzke et al. 2010; Nuñez et al. 2018). The success of non-parametric over parametric methods may be related to the non-parametric structure of the variables we measured in the calls (Britzke et al. 2010). Although it has been reported that DFA is quite robust to departures from normality (Mardia et al. 1994), it seems that these departures work well in low sample sizes (i.e., less than eight species according to Armitage and Ober 2010). However, the performance of DFA can be lower in cases with more classification groups, as in our study. Additionally, our models included some call parameters that were highly correlated (Hair et al. 2006). This would have added bias rather than increase the performance of the discriminant power of the analysis (Russo and Jones 2002; Armitage and Ober 2010). In this sense, it has been reported that RF has a better power to handle correlated variables even better than ANN (Armitage and Ober 2010). Both ANN and RF are non-parametric machinelearning methods that have been observed performing optimally in the identification of insectivorous bats (Veelenturf 1995; Breiman 2001; Archer and Kimes 2007; Samarasinghe 2007). Artificial Neural Networks, by one hand, can be taught to recognise patterns of the structure from the input data and they could minimise the errors caused by misclassifications using the back-propagation algorithm (White 1992). This characteristic improves the ability of the model to make better predictions over the unknown calls (Parsons and Jones 2000). Nevertheless, while ANN and RF are two non-parametric methods that are designed to deal with large samples, ANN tends to be sensitive to imbalanced data, while RF is not (Chen et al. 2004). Here, the number of pulses varied from 420 calls from E. fuscus to 19 in N. macrotis, and this could explain the lower accuracy showed by the ANN compared with the RF algorithm. In this condition, balancing data (either by weighting or resampling by over-sampling or under-sampling the data) is recommended (Buda et al. 2018). Nevertheless, this manipulation could be avoided by the use of algorithms, as the RF, that can handle such imbalances (Chen et al. 2004).

In our library, we found that the overall accuracy of species identification from the different methods used ranged from 62 to 81% (Table 3). These percentages may seem to be low compared with those that have been shown by other studies (e.g., MacSwiney G et al. 2008; Walters et al. 2012; Rodríguez-San Pedro and Simonetti 2013). Nevertheless, in our study, we included calls from bats of different sexes, gender, and locations. We also performed the analyses in the simplest way we could, this is, we did not manipulate the data previous to the analyses, we did not eliminate any correlated variable and we did not separate the FM from the CF-FM calls from the data set. This gave our call library the advantage that it can be used in an automatic mode just immediately after the calls have been obtained. This could give an advantage to the researchers using the library, because the identification of bat species may be conducted once that the monitoring has been performed. Finally, we propose the development of more call libraries that should be evaluated to test their performance, especially in those places as the mountains were the information is still

scarce. This may enhance the understanding of the bat fauna composing the ecosystems, where the monitoring has been traditionally performed with the use of mist nets.

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#### **Disclosure statement**

The authors declare they do not have a conflict of interest.

#### **Ethical statement**

The authors declare that the study followed the institutional and national ethical guidelines for scientific research in the sites where the research was conducted.

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| Call parameter                              | Description   | Biological interpretation  | References  |
| Call duration                               | Call duration (milliseconds).   | Short calls give bats better localisation while long ones give them more temporal information.   | Vaughan et al. 1997; Russo and Jones 2002:                                      |
| Highest frequency                           | Highest apparent frequency of the call (kHz).   | Lower frequencies transmission functions of the air while higher frequencies that back hother reconting the  | Zamora-Gutierrez et al. 2016  |
| Lowest frequency                            | Lowest apparent frequency of the call (kHz).  | inequencies give bats better resolution.<br>Lower frequencies travel further through the air while higher<br>frequencies olive bats hetter resolution. | Zamora-Gutierrez et al. 2016  |
| Bandwidth                                   | Total frequency spread of the call. Calculated from the difference between the highest and lowest frequency (kHz)   | High bandwidths allow concentration of energy and increased bandwidths allow concentration of energy and increased range detertion                     | Zamora-Gutierrez et al. 2016  |
| Characteristic frequency                    | Characteristic frequency of the call. Determined by<br>finding the point in the final 40% of the call having<br>the lowest slope or exhibiting the end of the main<br>trend of the hody of the rall (kHz) | Lower frequencies travel further through the air, while higher frequencies give better resolution to bats.   | Zamora-Gutierrez et al. 2016  |
| Frequency with maximum                      | The frequency of the maximum amplitude of the call  | Lower frequencies travel further while higher frequencies  | Vaughan et al. 1997; Russo and Jones  |
| amplitude<br>Derrontago of maximum          | (KHZ).<br>Descontace of the entire call duration at which the   | give better resolution to bats.<br>Lichor amolitude wordurer chorer orboor which and   | 2002<br>2005 - 2015 - 2016  |
| amplitude                                   | recentage of the entitie can auration at writch the<br>maximum amplitude occurs (%).  | resistant to atmospheric attenuation.  |   |
| Start frequency                             | Frequency of the start of the call. Typically, the same<br>point as the highest frequency, but different if the<br>call initially rises in frequency (kHz).   | Lower frequencies travel further while trough the air, while higher frequencies give greater resolution to bats.                                       | Vaughan et al. 1997; Russo and Jones<br>2002; Zamora-Gutierrez et al. 2016      |
| End frequency                               | Frequency of the end of the call. Typically, the same<br>point as the lowest frequency, but different if the<br>call ends with a rise in frequency.   | Lower frequencies travel further while trough the air, while higher frequencies give greater resolution to bats.                                       | Vaughan et al. 1997; Russo and Jones<br>2002; Zamora-Gutierrez et al. 2016      |
| Amplitude of the first quartile             | Total amplitude of the first quartile of the call (relative units).   | Higher amplitude produces stronger echoes and are resistant to atmospheric attenuation.  | Redgwell et al. 2009; Zamora-<br>Gutierrez et al. 2016                          |
| Amplitude of the second                     | Total amplitude of the second quartile of the call  | Higher amplitude produces stronger echoes and resistant to   | Redgwell et al. 2009; Zamora-   |
| quartile<br>Amnlitude of the third quartile | (relative units).<br>Total amulitude of the third quartile of the call  | atmospheric attenuation.<br>Hicker amplitude modures etronger erhoge and resistant to  | Gutierrez et al. 2016   |
| עוושיותים או וווב וווות אממוווב             | (relative units).   | atmospheric attenuation.   | Gutierrez et al. 2016   |
| Amplitude of the fourth                     | Total amplitude of the fourth quartile of the call  | Higher amplitude produces stronger echoes and resistant to   | Redgwell et al. 2009; Zamora-   |
| quartue<br>Rate of change                   | (relative units).<br>The second order derivative of the frequency-time<br>correct of code call consected at http://mco.f.col.eti.oo   | atmospheric attenuation.<br>Determinate the shape of call. FM calls are gives greater  | Gutterrez et al. 2016<br>Redgwell et al. 2009; Zamora-<br>Cuttorrez et al. 2016 |
|   | units).   | and more temporal information.   |   |
| Call slope                                  | The slope of the call, calculated from the difference in<br>frequency and time from the point of highest<br>frequency to the point of the lowest frequency<br>(relative units).                           | Greater slope gives greater resolution and localisation while<br>lower slope gives greater range and more temporal<br>information to bats.             | Present research.   |
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